



RIMS-CRMP

RIMS-Certified Risk Management Professional

RIMS-CRMP Certification Handbook

RIMS

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ANSI Accredited Program
PERSONNEL CERTIFICATION
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Table of Contents

About the RIMS-CRMP Certification Program	3
Introduction.....	3
The RIMS-CRMP Certification.....	3
Scope of the RIMS-CRMP Certification	3
The RIMS-CRMP Certification Commission.....	3
Eligibility Requirements	4
Definitions	4
Eligibility Appeals	4
Application	4
Preparing for the Examination	5
Certification Commission Position on Training and Education	5
Studying for the Exam	5
Examination Content.....	6
Examination Matrix.....	6
RIMS-CRMP Examination Content Outline	7
Scheduling the Examination	8
Scheduling	8
Availability of CBT Sites.....	8
No Show Policy	8
Taking the Examination	8
Examination Administration of Online Proctored Exams.....	9
Authorization to Test.....	9
Examination Security Procedures.....	9
Biometrics.....	10
Erasable Note Board.....	10
Personal Items	10
After the Examination	10
Examination Results.....	10
Disputed Results.....	10
Retests.....	11
Maintenance of Certification and Recertification	11
Annual Maintenance and Reporting Requirements.....	11
Recertification Fees	11
Recertification Cycle	11
Recertification	11
RIMS-CRMP Code of Professional Responsibility	12
The Code of Ethics	12
RIMS-CRMP Certification Definitions	13
RIMS-CRMP Certification Reference Material List	14
RIMS-CRMP Fee Schedule	15

About the RIMS-CRMP Certification Program

Introduction

Thank you for your interest in the RIMS-Certified Risk Management Professional (RIMS-CRMP) examination administered by RIMS, the risk management society™. As the preeminent organization dedicated to advancing the practice of risk management, RIMS is a global not-for-profit organization representing more than 3,500 industrial, service, nonprofit, charitable and government entities throughout the world. Founded in 1950, RIMS brings networking, professional development and education opportunities to its membership of 10,000 risk management professionals who are located in more than 60 countries.

This handbook contains the application requirements, procedures, and eligibility to sit for the RIMS-CRMP certification examination. The policies that oversee the certification process are also included.

The RIMS-CRMP Certification

The mission of the RIMS-Certified Risk Management Professional (RIMS-CRMP) certification program is to advance risk management from a discipline to a recognized profession that can be relied upon by the public by defining and reinforcing the professional capabilities and competencies needed by organizations of current and next generation risk management practitioners.

The RIMS-CRMP certification defines the highest achievement of risk management competencies for the risk management professional. Individuals who earn the RIMS-CRMP have demonstrated their knowledge and competency in the area of risk management, and are dedicated to upholding high standards of ethical and professional conduct. It represents the standard of education, experience and integrity that the discipline requires. Individuals who earn and retain the RIMS-CRMP certification are required to pass a rigorous exam, uphold an established Code of Ethics and meet certification maintenance requirements. Typically RIMS-CRMPs have expertise in risk management and have chosen to supplement their existing professional knowledge by earning the RIMS-CRMP certification.

RIMS-CRMPs may use the credential to establish credibility within their organization and among risk management professionals. Adding the RIMS-CRMP certification to your professional profile verifies that you have achieved the level of expertise, education and experience required to successfully manage risk and create value for your organization.

Scope of the RIMS-CRMP Certification

The scope of the RIMS-CRMP is as follows:

A risk management professional is a partner who supports the organization to leverage the opportunities and uncertainties associated with its goals and objectives. They lead the development and implementation of risk management practices that enable the organization to make risk-effective decisions that create and sustain value.

The RIMS-CRMP Certification Commission

The RIMS-CRMP Certification is governed by the Certification Commission. The Commission is an independently appointed authority whose charge is governance of the certification process and related certification decisions. The charge includes oversight of the certification program, promotion of certification within the risk management profession and maintaining independent functions that are separate from other RIMS units such as membership and other entities. This practice assures that all certification decisions and practices are made in accordance with certification program requirements and are not linked to other RIMS programs or membership requirements.

The Certification Commission operates independently to establish and uphold high standards of competence for RIMS-CRMPs and to evaluate RIMS-CRMPs against those standards.

The purpose of the Certification Commission is to:

1. Establish and implement policies and procedures that guide certification decisions, including the granting, maintaining, recertifying, altering the scope of the certification, and suspending or withdrawing the certification;
2. Review and impose sanctions on RIMS-CRMPs found in violation of the RIMS-CRMP Code of Ethics;
3. Develop and maintain a valid, reliable, and legally defensible assessment;
4. Assure the trust of the public is served through the certification program;
5. Acquire, develop, disseminate, and preserve data and other valuable information that informs the RIMS-CRMP Certification Program;
6. Represent and include the interests of interested parties and stakeholders affected by the RIMS-CRMP Certification.

RIMS-CRMP Eligibility Requirements

All applicants for the RIMS-CRMP certification must meet these requirements:

1. Degree and Experience Requirement

Bachelor's degree³ or higher (or global equivalent) in risk management, and one year of full-time work experience (or full-time equivalence) in risk management.²

OR

Student enrolled in the final year of a bachelor's degree program or higher (or global equivalent) in risk management.³

OR

Bachelor's degree or higher (or global equivalent) in non-risk management area of study, and Three years of full-time work experience (or full-time equivalence) in risk management.¹

2. Non-Degree Experience Requirement

Seven years of risk management experience.¹

Possessing the Associate in Risk Management (ARM) and/or Canadian Risk Management (CRM) designation counts towards two years of risk management experience.

¹Degrees must be obtained from accredited or equivalent schools of higher education.

²Risk Management Experience is occupational experience that leverages the opportunities and uncertainties associated with an organization's goals and objectives. This includes implementing, developing or leading the risk management practices that enable an organization to make risk-effective decisions that create and sustain value. (Internships count toward risk management experience.)

³Students may apply for the certification and take the examination but will not be awarded the certification and may not hold themselves as certification holders until after passing the exam, completing the degree requirement, and demonstrating one-year of full-time experience in risk management.

A criminal conviction or other adjudication (including civil judgments or licensure orders) based on conduct that relates to the applicant's risk management duties, or relates to fraud, dishonesty, theft, abuse of trust, or otherwise bears on an applicant's fitness to serve as a risk management professional may render the applicant ineligible for RIMS-CRMP certification. Any disclosure made under this provision will be reviewed by the Certification Commission prior to a final decision on eligibility being made.

Applicants must also submit a completed application which can be found under the "How to Apply" section of the RIMS-CRMP website.

The RIMS-CRMP certification examination is offered year-round. Candidates will receive notification of eligibility to take the exam that will contain an authorization to test within six months. The exam must be taken within the six-month timeframe for which they are authorized or the examination fee will be forfeited and the candidate will be required to reapply as a new applicant.

The Fee Schedule is located on page 15.

Definitions

Applicant: An individual who has submitted the Application for RIMS-CRMP Certification.

Candidate: An individual who meets the eligibility requirements for RIMS-CRMP Certification.

Certified Individual: An individual who has earned and maintained the RIMS-CRMP Certification and is authorized to use the RIMS-CRMP® mark.

A complete list of definitions is located on page 13.

Eligibility Appeals

If an applicant is notified that they do not meet the eligibility requirements to sit for the exam, the applicant may appeal the decision to the Commission. The candidate must complete a RIMS-CRMP Certification Appeals Form and submit to the RIMS-CRMP Certification Department within those 30 calendar days to RIMS-CRMP@RIMS.org. Visit www.RIMS.org/Certification to download the full RIMS-CRMP handbook, which includes the Appeals Form.

Application

The application for the RIMS-CRMP is available in the How to Apply section of the RIMS-CRMP website at www.RIMS.org/Certification. Candidates may also request a copy by contacting the RIMS-CRMP Certification Department at RIMS-CRMP@RIMS.org

Electronic signatures will be accepted on the application. In compliance with federal "E-SIGN" legislation, the RIMS-CRMP Certification Department will securely store all online applications, renewal and recertification applications.

All candidates will be notified within 15 business days via email once their application for the RIMS-CRMP certification program has been processed and approved. The email will include detailed directions for how to register for the RIMS-CRMP examination.

Preparing for the Examination

The RIMS-CRMP examination is a computer based, multiple-choice, closed book examination that is proctored. Candidates have two hours to complete the examination.

The RIMS-CRMP examination was developed in accordance with best practices in test development and measurement. Working with experts in the development of certification examinations, the resulting RIMS-CRMP certification examination is reliable and valid. To validate the examination, measurement specialists worked with the RIMS-CRMP staff, and a committee of RIMS-CRMP Subject Matter Experts (SMEs) to design and conduct a job task analysis study. That analysis resulted in the development of a standardized examination content outline that included the expertise of RIMS-CRMP SMEs. RIMS-CRMP SMEs were also called upon to write, review, edit, and approve examination questions under the guidance of experts in testing and measurement.

Candidates are encouraged to prepare for the RIMS-CRMP examination by reviewing the RIMS-CRMP Examination Blueprint located on page 6 of this handbook, as well as resources located on the RIMS-CRMP website. Candidates may also choose professional courses, college/university courses or self-study methods to prepare for the examination. The RIMS certification department cannot recommend or endorse any courses or curriculum in preparation of the exam.

A list of suggested reference materials is located on page 14.

Certification Commission Position on Training and Education

Please note that the Certification Commission does not require applicants to take prescribed training or education programs from any company or organization. The Commission does not recommend or endorse any training or education programs as preparation for the certification examination, nor does it accredit or endorse any source of education as a guarantee of success on the RIMS-CRMP certification examination. Certification is awarded solely on the basis of an individual's ability to meet the certification requirements.

Studying for the Exam

The RIMS-CRMP certification represents knowledge about risk management that is available from a wide range of sources, some of which are listed below. The RIMS-CRMP Certification Department does not guarantee that the resources it provides, or those of any other entity, will ensure passing the RIMS-CRMP examination. The RIMS-CRMP Certification Department does not require candidates to purchase any of its resources as a condition of qualifying for the examination or awarding the RIMS-CRMP certification. Visit the Resources section of the RIMS-CRMP website for a list of recommended reading material.

The important topics that candidates should study to successfully prepare for the RIMS-CRMP examination are listed in the Examination Content Outline (see page 7). Candidates are strongly encouraged to carefully review the Examination Content Outline to identify any topic areas that may require extra review and study.

Candidates should decide what they want to read and study based on their current experience and knowledge about risk management to determine how much preparation is required for each topic area of the examination. Consider the following questions in order to determine how you should study:

- What area has the greatest number of test questions?
- What area will require the most prep time?
- What are your stronger areas? Concentrate on the areas on which you are least familiar.

A list of suggested reference materials is located on page 14.

Examination Content

Examination Blueprint Matrix

This exam matrix is provided to illustrate the general distribution of questions and the relative weight or emphasis given to a skill or content area on the examination. A successful risk management practitioner should have experience and a fundamental understanding of these five broad topic areas. For a more specific breakdown of topics, refer to the Final Examination Content Outline.

Final Examination Blueprint Matrix

Examination Blueprint Matrix	
A. Analyzing the Business Model	15%
B. Designing Organizational Risk Strategies	17%
C. Implementing the Risk Process	35%
D. Developing Organizational Risk Competency	16%
E. Supporting Decision Making	17%
Total	100%

RIMS-CRMP Examination Content Outline

The task list below describes the activities that a RIMS-Certified Risk Management Professional is expected to perform on the job. All examination questions are linked to these tasks.

Final Examination Content Outline

Duty and Task	Final
A. Analyzing the Business Model	15%
A.1 Obtain internal organization information	3%
A.2 Obtain external information about organization	1%
A.3 Consolidate organizational information	2%
A.4 Analyze operations of the organization/due diligence	3%
A.5 Conduct benchmarking	1%
A.6 Describe and/or understand organization's value chain	3%
A.7 Identify organizational uncertainties	2%
B. Designing Organizational Risk Strategies	17%
B.1 Develop risk strategy approach	3%
B.2 Define organizational risk competency capabilities	2%
B.3 Define success measures	2%
B.4 Design risk governance	2%
B.5 Design implementation plan	2%
B.6 Develop risk communication strategy	3%
B.7 Obtain organizational support for risk strategy	3%
C. Implementing the Risk Process	35%
C.1 Identify risks	6%
C.2 Analyze identified risk	6%
C.3 Evaluate risk	6%
C.4 Consult and create risk solutions	6%
C.5 Monitor risk	5%
C.6 Advise on risk management (e.g., strategic, enterprise, operational, business area, business initiatives)	6%
D. Developing Organizational Risk Competency	16%
D.1 Deliver risk training	3%
D.2 Engage organization's risk network (e.g., safety, security, business continuity, internal audit)	3%
D.3 Coach organization on the risk process and techniques	3%
D.4 Continuously improve risk management process	3%
D.5 Integrate risk management into day-to-day operations	4%
E. Supporting Decision Making	17%
E.1 Influence risk-based decision making	8%
E.2 Facilitate risk discussions	9%

Scheduling the Examination

Scheduling

All candidates will receive an email from the RIMS-CRMP Certification Department with detailed instructions for scheduling the examination with Pearson VUE. Candidates will have the opportunity to schedule their examination either online or by telephone for CBT exams at Pearson testing centers. Candidates registering for online proctored exams may only schedule their exam online. The RIMS-CRMP Certification Department and Pearson VUE will send an email to candidates with a complete list of examination registration instructions. The candidate should contact the RIMS-CRMP Certification Department immediately if they do not receive the emailed instructions.

Availability of Computer-Based Testing (CBT) Sites and Online Proctored Exams

The RIMS-CRMP Certification Department makes it possible for candidates to sit for the RIMS-CRMP certification examination at a date and time that is convenient for them. Candidates may schedule their RIMS-CRMP certification examination at any convenient date and time within their eligibility period. Candidates must schedule their exam at least 24 hours in advance, but may schedule up to 60 calendar days before their desired examination date for the best selection of dates, times, and testing locations.

Note that because Pearson VUE testing centers have limited availability, especially during prime testing periods, it is recommended that the candidate schedule their exam early in order to increase the likelihood of receiving their first choice of date, time, and location. Appointments will be made on a first-come, first-served basis, according to availability of testing sites. Neither RIMS nor the RIMS-CRMP Certification Department is responsible for test center availability.

If the candidate fails to schedule their examination within their eligibility period, he or she will be subject to an examination extension fee. Neither the RIMS-CRMP Certification Department nor Pearson VUE is responsible if the candidate does not schedule an appointment before their eligibility expires. A fee schedule is posted on the RIMS-CRMP certification website.

Testing centers can be located by visiting the Pearson VUE website and clicking "For test takers."

Scheduling an online proctored exam is the same as scheduling an exam at a Pearson testing center. Candidates will be given the option to choose to take the exam at their home residence or in their office.

No-Show Policy

Once the CBT examination or online proctored appointment is scheduled, the candidate will be permitted to make changes to the appointment such as location, rescheduling or cancellation. All changes are made through the PearsonVue.com website.

Candidates may cancel a scheduled exam (by phone or online for CBT exams and online only for online proctored exams) without penalty up to 24 hours before their appointment. Candidates who do not give 24-hour notice of cancellation or who do not show up for the exam appointment will be considered a no-show. Candidates who show up late and are not admitted, fail to present adequate identification, or refuse to sign the nondisclosure agreement will not be allowed to take the test and will be considered a no-show.

Candidates who are considered no-shows forfeit the full examination fee. Should no-show candidates wish to register for the examination again, they will be required to pay an additional examination (no-show) fee. A fee schedule is located on page 15 or you may view it on the How to Apply section of the RIMS-CRMP website.

Taking the Examination

All RIMS-CRMP candidates must have prior authorization from the RIMS-CRMP Certification Department to take the examination. Candidates must provide two forms of identification (a list of acceptable forms of identification is below), at least one of which must be government issued, have a photo and a signature. The name on the IDs must match exactly the name submitted on the application or on the web account profile and in the appointment confirmation email.

Additional details on taking the examination are provided to candidates in the email they receive from the RIMS-CRMP Certification Department once their application is approved. If candidates experience problems that affect their ability to take the examination, they must notify the test administrator at the testing site or online proctor immediately. Test administrators or online proctors cannot answer any questions about test items or content of the examination. However, if candidates have a procedural question, the testing staff and online proctor will do their best to assist them. If during the examination the candidate has questions or concerns about a test item, they may leave a comment by clicking the "comment" button on the computer screen or through chat to contact the online proctor.

All examination questions are copyrighted property of RIMS. It is forbidden under federal copyright law to copy, reproduce, record, distribute or display these examination questions by any means, in whole or in part. Doing so may subject the candidate to severe civil and criminal penalties and actions by the RIMS organization.

The test administrator or proctor will keep the official time and ensure that candidates are given the allotted time of two hours for the examination. If a candidate leaves the room to take a restroom break the examination time will not stop. Restroom breaks are not permitted for online proctored exams. **Candidates are not permitted to leave the examination area to go to their cars, to speak to anyone, or make personal calls.**

The test administrator may dismiss a candidate from the examination for any of the following reasons:

- If the candidate's admission to the examination is unauthorized.
- If a candidate creates a disturbance or gives or receives help.
- If a candidate attempts to remove examination materials or notes from the testing room.
- If a candidate attempts to take the examination for someone else.
- If a candidate has in his or her possession any prohibited item.
- If a candidate exhibits behavior consistent with memorization or copying of examination items.

The following protocols apply to online proctored exams:

- The testing area should be in a walled room with a closed door.
- Individuals other than the candidate may not see the computer screen that presents the examination questions.
- If another person enters the room during testing, the exam will be terminated.
- Candidates are not permitted to leave the room during testing. Breaks are not allowed during testing for any reason. If the candidate leaves the room, the proctor will end the session and the candidate will be unable to continue testing.
- Water in a clear glass is allowed during testing; however, eating, smoking, and chewing gum are prohibited.

Examination Administration of Online Proctored Exams

For online proctored exams, candidates must check-in thirty (30) minutes before the examination start time, when the examination check-in window opens. They may test at their home residence or in their office of employment. Candidates must download the OnVUE software and conduct a systems check to make sure the computer is ready for the test. They will take photos of their government-issued ID and testing environment, as well as a headshot. The photos will be reviewed and the exam will be queued up. Most candidates will start their exam within 15 minutes of their appointment time. If the pictures don't pass the review, candidates will be joined by a greeter as soon as possible to help troubleshoot.

The test area must be in a walled room, with a closed door and without distractions. No one else is permitted in the room during testing. If another person enters the room during testing, the exam will be terminated. Candidates must demonstrate the desktop is clean and not within arm's reach of books, notepads, sticky notes, papers, pens, pencils, and other writing instruments/objects, or other articles. Additional monitors and computers must be unplugged and turned off. Items on the wall with writing on them, such as whiteboards, will be inspected.

Candidates will be monitored by a certified proctor via webcam and microphone throughout the test to ensure the integrity and security of the exam experience.

In the event that the connection is lost during check-in to take the examination and the proctor has not contacted the candidate within thirty (30) minutes, the candidate will need to reschedule the exam. A fee to reschedule will be waived.

Authorization to Test

You are strongly urged to schedule your test as soon as convenient after your application is approved. You will receive an "Authorization to Test" via email. This authorization will contain the timeframe within which you must test. Your authorization will be valid for six months; if you do not test within your original authorization, you have the opportunity to request an extension for the next available test window for an additional fee.

If you do not request an extension at least 24 hours prior to your examination date, you will need to reapply and pay a new examination fee.

Please refer to the Fee Schedule on the RIMS-CRMP website. A fee schedule is located on page 15.

Examination Security Procedures

Candidates who are taking the RIMS-CRMP examination at a Pearson VUE testing center or via online proctoring will be required to provide two forms of valid ID. A primary ID must contain a photo and signature, and one secondary ID with a signature.

Primary	<p>The following IDs meet the primary ID requirements:</p> <ul style="list-style-type: none"> • Government-issued driver’s license • State/national identification card • Passport* • Military ID* • Alien registration card (green card, permanent resident visa) • U.S. Passport card • U.S. Dept. of State Driver’s License <p><i>* The primary ID must contain a photo and signature unless the signature is embedded in the identification. When this occurs, candidate must present another form of signature identification from the primary or secondary list.</i></p>
Secondary	<p>The following IDs meet the secondary ID requirements:</p> <p>Any ID on the primary list</p> <p>OR</p> <p>Social Security card</p> <p>Credit/bank ATM card (signature required)</p>

Biometrics

Some Pearson VUE testing centers (those designated as Pearson Professional Centers [PPC] and some designated as Select Pearson VUE Testing Centers [PVT]) may require biometric identification using palm vein capture technology. Palm vein recognition examines the unique patterns in a candidate’s palm veins using a safe, near-infrared light source like that in a TV remote control. All centers require electronic signature and a digital photo.

Erasable Note Board

Standard procedure is that the candidate receives one erasable note board to use as scratch paper during the examination. Scratch paper, erasable whiteboards, or any other physical writing object are not permitted for online proctored exams.

Personal Items

Candidates are not allowed to bring personal items into the testing area. Headsets, mobile phones, electronic devices, watches, wallets, purses, hats, bags, coats, books, notes, or any materials not specifically approved by the certification body are not permitted. Failure to comply with these examination requirements, and the test area or “workspace” not passing a room scan, will result in termination of the exam administration and candidates will need to reschedule and pay a rescheduling fee.

Consideration is made for comfort items (such as a pillow, crutches, and tissues), which the testing center administrator must inspect for CBT exams. A designated area will be provided in the testing area for all personal belongings, but neither Pearson VUE nor the RIMS-CRMP Certification Department is responsible for any personal belongs left in the area before, during or after the examination.

After the Examination

Examination Results

Candidates will be provided with a printed report of their results at the completion of their examination. Candidates will also be sent a copy of their results. All results are designated as Pass/Fail.

Candidates who failed the examination will be provided a diagnostic analysis of their relative strengths and weaknesses by content area, along with their examination results. Diagnostic analysis is not provided onsite. It will be sent in a follow-up email.

Candidates who have successfully passed the examination will be notified of their certification status by the RIMS-CRMP Certification Department. Those who have passed the examination and met all other eligibility requirements will receive a RIMS-CRMP Welcome Packet that includes a RIMS-CRMP certificate and other business and communication items. The individual may then use the RIMS-CRMP initials after their name, and use the RIMS-CRMP mark as allowed by the RIMS-CRMP Code of Ethics and the policies of the RIMS-CRMP Certification Commission.

Disputed Results

The RIMS-CRMP Certification Department employs a rigorous process to ensure that no errors occur in the scoring of exams, including a quality control scoring audit and statistical analysis of all questions. Due to the accuracy of electronically scored exams, changes as a result of rescoring are highly unlikely. However, a candidate may request a hand-score of his or her exam by completing and submitting the Application to Hand

Grade Answer Sheet (located online in the full candidate handbook) with the requisite fee. Please contact the RIMS-CRMP Certification Department at RIMS-CRMP@RIMS.org or refer to the website for the fee schedule. **All such requests must be sent to the RIMS-CRMP Certification Department within 90 days of the test date.** Applications received after 90 calendar days from the original examination date will be returned to the candidate.

Retests

Candidates who fail the exam may retake it and must pay the retest fee each time. Candidates who fail may retake the exam 30 days after the first attempt. Candidates who fail on the second attempt may take the exam a third time and must do so 90 days after the second attempt. After the third failure, the candidate will be required to wait 180 days, reapply as a new candidate, and pay all applicable fees.

Maintenance of Certification and Recertification Requirements

The RIMS-CRMP recertification program was developed to ensure the continuing commitment of RIMS-CRMPs to the credential and to ensure that RIMS-CRMPs' continued competence is enhanced by the process. Recertification is a vital part of the RIMS-CRMP credentialing program. The requirements for recertification, and the means by which RIMS-CRMPs demonstrate compliance with the recertification requirements, are based on the philosophy of continued competence through recertification. As such, specific recertification requirements have been established.

Certification is awarded for a period of two years. The RIMS-CRMP maintenance of certification process was developed to ensure the continuing commitment of RIMS-CRMPs to the credential and to ensure RIMS-CRMPs continue to meet minimum standards for certification.

Annual Maintenance and Reporting Requirements

Recertification Fees

Recertification fees are due at the time of submission of the recertification application and must be paid in full. The fee schedule is as follows:

- Members: \$150
- Non-Members: \$300

Recertification Cycle

Recertification cycles are two years in length. This means that every RIMS-CRMP holder must complete the 50 recertification points within the designated two years. Recertification activities must occur during the valid two-year recertification period. Professional development activities are not retroactive.

Applicants who have passed the RIMS-CRMP exam are considered to have active credentials on the date they receive an official welcome letter and certificate from the RIMS-CRMP Certification Department.

The designated two-year cycle begins the day certification credentials become active and ends the last of day of the certification month two years later. Credits applied toward meeting the recertification requirements must be completed during the certification cycle. Credits may not be carried over from one certification cycle to the next.

Recertification

The RIMS-CRMP recertification process was developed to ensure the ongoing commitment of RIMS-CRMPs to the certification and to enable credential holders to continually enhance professional education and competence through an ongoing recertification cycle. Recertification is a vital part of the RIMS-CRMP credentialing program and is designed to ensure that RIMS-CRMPs expand their technical knowledge of risk management and all related practices. Recertification is required every two years from the last day of the month of certification.

RIMS-CRMPs are required to earn 50 RIMS-CRMP recertification points within a two-year period and must agree to abide by and uphold the Code of Ethics. Failure to comply with recertification requirements will result in the expiration of certification and removal of the RIMS-CRMP credential.

Fifty (50) points must be earned within the recertification cycle, with 1 point = 1 hour of activity. Alignment with the competency requirements was determined.

Visit the Recertification section of www.RIMS.org/Certification to learn about the points requirement, how to recertify and download the Recertification Handbook.

RIMS-CRMP Code of Professional Responsibility

The Code of Ethics

The Code of Ethics of the Certification Commission

The RIMS-CRMP Certification Department issues the *RIMS-CRMP Code of Ethics* to outline ethical principles and rules for all persons who are certified by the Certification Commission to use the RIMS-CRMP designation. Agreement to uphold and abide by the Code of Ethics is a requirement for earning and maintaining certification. Implicit in a RIMS-CRMP's acceptance of the certification is an obligation to comply with the mandates and requirements of all applicable laws and regulations, and to take the responsibility to perform all professional services and activities in an ethical manner. The *RIMS-CRMP Code of Ethics* is based on the standards of competence, honesty, trustworthiness, fairness, and professionalism. The Code of Ethics is available on the RIMS-CRMP website and listed below:

Certified persons who fail to comply with the Code of Ethics are subject to disciplinary procedures which may result in sanctions. All sanctions are subject to appeal. Following the exhaustion of appeals, the Commission shall make available upon request the certification status and any sanctions of individuals.

The Code of Ethics are not set forth to determine behaviors resulting in criminal or civil liability, nor are they intended to resolve matters of market competition.

To file a violation of the Code of Ethics use the RIMS-CRMP Certification Program Complaint Form. Download the full candidate handbook at www.RIMS.org/Certification to access this form.

As a RIMS-Certified Risk Management Professional or individual applying for RIMS-CRMP Certification, I agree to uphold and abide by the follow tenets:

1. Perform professional duties in accordance with the laws and with integrity.
2. Perform professional duties in a competent and ethical manner.
3. Avoid acts or omissions amounting to unprofessional conduct.
4. Avoid malicious conduct that would injure the professional reputation or practice of others.
5. Provide complete and accurate information when applying for certification and recertification.
6. Refrain from personal behavior that may compromise the integrity of the credential.
7. Disclose to the Certification Commission in any criminal convictions, and disclose any other adjudication (including civil judgments or licensure orders) based on conduct that relates to my risk management duties, or relates to fraud, dishonesty, theft, abuse of trust; such disclosures will be made no later than 30 days after any conviction or adjudication that occurs after submission of my application.
8. Abide and uphold the policies of the Certification Commission.
9. Safeguard confidential and privileged information, and exercise due care to prevent its improper disclosure.
10. Maintain competency requirements through recertification.
11. Use the logo and certification marks only in an authorized and approved manner.
12. Exhibit appropriate professional conduct in my interactions with all individuals whom I encounter in connection with my professional roles, such as clients, co-workers, and Certification Commission personnel, including by refraining from discrimination, harassment, or retaliation and by respecting appropriate professional boundaries in my interactions with clients and others.
13. Not engage in cheating or other dishonest behavior that violates exam security (including unauthorized reproducing, distributing, displaying, discussing, sharing, or otherwise misusing test questions or any part of test questions) before, during, or after a Certification Commission examination.
14. Cooperate with and respond to inquiries from the Certification Commission related to my own or another's compliance with the Certification Commission's policies and this Code of Ethics.
15. Pay all fees and provide information required by the Certification Commission.

Certification Definitions

Applicant:

An individual who has submitted the Application for RIMS-CRMP Certification.

Candidate:

An individual who meets the eligibility requirements for RIMS-CRMP Certification.

Certification:

The voluntary process by which a non-governmental entity grants a time-limited recognition and use of a credential to an individual after verifying that he or she has met predetermined and standardized criteria. (2005 ICE Guide.)

Certification Commission:

A group of individuals appointed or elected to govern one or more certification programs and responsible for all certification decision making, including governance.

Certified Individual:

An individual who has earned and maintained the RIMS-CRMP Certification and is authorized to use the RIMS-CRMP® mark.

Credential:

Abilities and experience that make someone suitable for a particular job or activity, or proof of someone's abilities and experience.

Competencies:

Knowledge or expertise in a given area.

Continued Competence:

The lifelong process of maintaining and documenting a specified level of skill through ongoing learning and development and subsequent reassessment.

Domain:

Body of knowledge, skills or abilities being measured or examined by a test, experiment or research study.

Examination Blueprint:

Content areas on the exam that comprise the knowledge, skills, and abilities that have been determined to be important elements of competency for the job or occupation being assessed.

Job Task Analysis:

Systematic identification of the fundamental elements of a job, and examination of knowledge and skills required for the job's performance.

Recertification:

Requirements and procedures established as part of a certification program that certificants must meet in order to ensure continuing competence and renew their certification.

Reliability:

The degree to which a test is consistent and stable in measuring what it is intended to measure. It determines the results to be stable over a period of time.

Scope Statement:

A broad, general, and written statement of a specific job, based on the findings of a job analysis.

Subject Matter Expert (SME):

Person who is an authority in a particular area or topic.

Validity:

The degree to which the test actually measures what it claims to measure.

Reference Material

These materials will be useful in helping you study for the RIMS-CRMP Exam. It is not an exhaustive list and it is recommended that you self-assess according to the domains listed in the Examination Blueprint and study accordingly. Visit the Resources section of www.RIMS.org/Certification for the most up-to-date list of references.

Books

- Bellman, Geoffrey M. *Getting Things Done When You Are Not in Charge*. San Francisco: Berrett-Koehler, 2001. Print.
- Bookstaber, Richard M., and Bluford H. Putnam. *Risk Management: Principles and Practices: Proceedings of the AIMR Seminar "Risk Management"*, March 8-9, 1999, Boston, Massachusetts. Charlottesville, VA: Association for Investment Management and Research, 1999. Print.
- Chapman, Robert J. *Simple Tools and Techniques for Enterprise Risk Management*. Chichester, England: Wiley, 2011. Print.
- Elliott, Michael, ed. *Enterprise Risk Management*. 1st ed. The Institutes, 2014. Print.
- Elliott, Michael W. *Risk Management Principles and Practices*. Malvern, PA: The Institutes, 2012. Print.
- Engemann, *Business Continuity and Risk Management: Essentials of Organizational Resilience*. Brookfield, CT: Rothstein Associates, 2011. Print.
- Funston, Frederick, and Stephen Wagner. *Surviving and Thriving in Uncertainty: Creating the Risk Intelligent Enterprise*. Hoboken, NJ: Wiley, 2010. Print.
- Gamble, John, and Arthur A. Thompson. *Essentials of Strategic Management: The Quest for Competitive Advantage*. New York, NY: McGraw-Hill/Irwin, 2013. Print.
- Kaufman, Josh. *The Personal MBA: Master the Art of Business*. New York, NY: Portfolio/Penguin, 2012. Print.
- Kotter, John P. *Leading Change*. Boston, MA: Harvard Business School, 1996. Print. (Hardcover and Kindle, 2012).
- Lam, James. *Enterprise Risk Management: From Incentives to Controls*. Hoboken, N.J: Wiley, 2014. Print.
- "RIMS Strategic Risk Management Implementation Guide." Print. RIMS, 27 Nov. 2012.
- Sobel, Paul, and Kurt F. Reding. *Enterprise Risk Management: Achieving and Sustaining Success*. IIA Research Foundation, 2012. Print.
- Vance, Beaumont, and Joanna Makomaski. *Enterprise Risk Management for Dummies*. Hoboken, NJ: Wiley, 2007. Print (available in the RIMS online bookstore).
- Wan, Margaret. *Incidental Trainer: A Reference Guide for Training Design, Development, and Delivery*. Boca Raton: CRC, Taylor & Francis, 2014. Print.

Websites

- ISO 31000:2009 (available on RIMS website/ISO website/ASSE website).
- COSO Enterprise Risk Management – Integrated Framework: 2004 (available on COSO website).
- "Orange Book. Management of Risk – Principles and Concepts" - Publications. HM Treasury on Behalf of the Controller of HMSO, 5 Oct. 2004. Web.
- Risk Assessment Standard, ANSI/ASIS/RIMS RA.1-2015 (available on ASIS and RIMS website).

RIMS-CRMP Fee Schedule

Incident	Member	Non-Member	Student Member	Student Non-Member
Exam	\$350*	\$500*	\$250*	\$350*
Recertification	\$150	\$300		
Exam Retake	\$250	\$400	\$150	\$250
Exam Extension	\$150	\$250	\$50	\$100
Hand Score	\$25	\$25		
Certificate Replacement	\$20	\$20		
Cancellation	\$0**	\$0**	\$0**	\$0**
No-Show	\$250***	\$400***	\$150***	\$250***

* Includes nonrefundable \$100 application processing fee.

** Cancellation = 24 hours or more of notice with no rescheduling. Cancellations will receive a refund of the exam fee minus the \$100 application processing fee.

*** No Show = less than 24 hours of notice, late to the testing center, failure to show ID, or failure to sign the NDA.



www.RIMS.org/Certification



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